#### § 11.21

any other available communication facilities. The network distributes State EAS messages originated by the Governor or designated official. In addition to EAS monitoring, satellites, microwave, FM subcarrier or any other communications technology may be used to distribute State emergency messages.

## §11.21 State and Local Area plans and FCC Mapbook.

EAS plans contain guidelines which must be followed by EAS Participants' personnel, emergency officials, and National Weather Service (NWS) personnel to activate the EAS. The plans include the EAS header codes and messages that will be transmitted by key EAS sources (NP, LP, SP and SR). State and local plans contain unique methods of EAS message distribution such as the use of the Radio Broadcast Data System (RBDS). The plans must be reviewed and approved by the Chief, Public Safety and Homeland Security Bureau, prior to implementation to ensure that they are consistent with national plans, FCC regulations, and EAS operation.

(a) The State EAS Plan contains procedures for State emergency management and other State officials, the NWS, and EAS Participants' personnel to transmit emergency information to the public during a State emergency using the EAS. State EAS Plans should include a data table, in computer readable form, clearly showing monitoring assignments and the specific primary and backup path for emergency action notification (EAN) messages that are formatted in the EAS Protocol (specified in §11.31), from the PEP to each station in the plan. If a state's emergency alert system is capable of initiating EAS messages formatted in the Common Alerting Protocol (CAP), its State EAS Plan must include specific and detailed information describing how such messages will be aggregated and distributed to EAS Participants within the state, including the monitoring requirements associated with distributing such messages.

(b) The Local Area plan contains procedures for local officials or the NWS to transmit emergency information to the public during a local emergency

using the EAS. Local plans may be a part of the State plan. A Local Area is a geographical area of contiguous communities or counties that may include more than one state.

(c) The FCC Mapbook is based on the above plans. It organizes all broadcast stations and cable systems according to their State, EAS Local Area, and EAS designation.

[72 FR 62134, Nov. 2, 2007, as amended at 77 FR 16700, Mar. 22, 2012]

# Subpart B—Equipment Requirements

#### §11.31 EAS protocol.

- (a) The EAS uses a four part message for an emergency activation of the EAS. The four parts are: Preamble and EAS Header Codes; audio Attention Signal; message; and, Preamble and EAS End Of Message (EOM) Codes.
- (1) The Preamble and EAS Codes must use Audio Frequency Shift Keying at a rate of 520.83 bits per second to transmit the codes. Mark frequency is 2083.3 Hz and space frequency is 1562.5 Hz. Mark and space time must be 1.92 milliseconds. Characters are ASCII seven bit characters as defined in ANSI X3.4–1977 ending with an eighth null bit (either 0 or 1) to constitute a full eightbit byte.
- (2) The Attention Signal must be made up of the fundamental frequencies of 853 and 960 Hz. The two tones must be transmitted simultaneously. The Attention Signal must be transmitted after the EAS header codes.
- (3) The message may be audio, video or text.
- (b) The ASCII dash and plus symbols are required and may not be used for any other purpose. Unused characters must be ASCII space characters. FM or TV call signs must use a slash ASCII character number 47 (/) in lieu of a dash.
- (c) The EAS protocol, including any codes, must not be amended, extended or abridged without FCC authorization. The EAS protocol and message format are specified in the following representation.

Examples are provided in FCC Public Notices.

[PREAMBLE]ZCZC-ORG-EEE-PSSCCC+TTTT-JJJHHMM-LLLLLLLL-(one second pause)
[PREAMBLE]ZCZC-ORG-EEE-PSSCCC+TTTTpJJJHHMM-LLLLLLLLL-(one second pause)
[PREAMBLE]ZCZC-ORG-EEE-PSSCCC+TTTT-JJJHHMM-LLLLLLLLL-(at least a one second pause)

(transmission of 8 to 25 seconds of Attention Signal)

(transmission of audio, video or text messages)

(at least a one second pause)

[PREAMBLE]NNNN (one second pause) [PREAMBLE]NNNN (one second pause) [PREAMBLE]NNNN (at least one second pause)

[PREAMBLE] This is a consecutive string of bits (sixteen bytes of AB hexadecimal [8 bit byte 10101011]) sent to clear the system, set AGC and set asynchronous decoder clocking cycles. The preamble must be transmitted before each header and End of Message code.

ZCZC—This is the identifier, sent as ASCII characters ZCZC to indicate the start of ASCII code.

ORG—This is the Originator code and indicates who originally initiated the activation of the EAS. These codes are specified in paragraph (d) of this section.

EEE—This is the Event code and indicates the nature of the EAS activation. The codes are specified in paragraph (e) of this section. The Event codes must be compatible with the codes used by the NWS Weather Radio Specific Area Message Encoder (WRSAME).

PSSCCC-This is the Location code and indicates the geographic area affected by the EAS alert. There may be 31 Location codes in an EAS alert. The Location code uses the codes described in the American National Standards Institute (ANSI) standard, ANSI INCITS 31-2009 ("Information technology-Codes for the Identification of Counties and Equivalent Areas of the United States, Puerto Rico, and the Insular Areas"). Each state is assigned an SS number as specified in paragraph (f) of this section. Each county and some cities are assigned a CCC number. A CCC number of 000 refers to an entire State or Territory. P defines county subdivisions as follows: 0 = all or an unspecified portion of a county, 1 = Northwest, 2 = North, 3 = Northeast, 4 = West, 5 = Central, 6 = East, 7 = Southwest, 8 = South, 9 = Southeast. Other numbers may be designated later for special applications. The use of county subdivisions will probably be rare and generally for oddly shaped or unusually large counties. Any subdivisions must be defined and agreed to by the local officials prior to use.

+TTTT—This indicates the valid time period of a message in 15 minute segments up to one hour and then in 30 minute segments beyond one hour; *i.e.*, +0015, +0030, +0045, +0100, +0430 and +0600.

JJJHHMM—This is the day in Julian Calendar days (JJJ) of the year and the time in hours and minutes (HHMM) when the message was initially released by the originator using 24 hour Universal Coordinated Time (UTC).

LLLLLLL—This is the identification of the EAS Participant, NWS office, etc., transmitting or retransmitting the message. These codes will be automatically affixed to all outgoing messages by the EAS encoder.

NNNN—This is the End of Message (EOM) code sent as a string of four ASCII N characters.

(d) The only originator codes are:

Originator	ORG code
	EAS CIV WXR PEP

(e) The following Event (EEE) codes are presently authorized:

Event codes
EAN.
NIC
NPT.
RMT.
RWT.
ADR.
AVW 1.
AVA 1.
BZW.
CAE 1.
CDW 1.
CEM.

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Coastal Flood Warning         CFW¹.           Coastal Flood Watch         CFA¹.           Dust Storm Warning         DSW¹.           Earthquake Warning         EQW¹.           Evacuation Immediate         EVI.           Fire Warning         FRW¹.           Flash Flood Warning         FFW.           Flash Flood Watch         FFA.           Flash Flood Statement         FFS.           Flood Warning         FLW.           Flood Watch         FLA.           Flood Statement         FLS.           Hazardous Materials Warning         HMW¹.           High Wind Warning         HWW.           High Wind Watch         HWA.           Hurricane Warning         HUW.           Hurricane Warning         HUW.           Hurricane Statement         HLS.           Law Enforcement Warning         LEW¹.           Local Area Emergency         LAE¹.           Network Message Notification         NMN¹.           911 Telephone Outage Emergency         TOE¹.           Nuclear Power Plant Warning         NUW¹.           Practice/Demo Warning         PMO.           Radiological Hazard Warning         RHW¹.           Severe Thunderstorm Warch         S	Nature of activation	Event codes
	Coastal Flood Warning Coastal Flood Watch Dust Storm Warning Earthquake Warning Evacuation Immediate Fire Warning Flash Flood Watch Flash Flood Watch Flash Flood Watch Huricane Warning High Wind Warning High Wind Warning Hurricane Wartch Hurricane Watch Hurricane Statement Law Enforcement Warning Local Area Emergency Network Message Notification 911 Telephone Outage Emergency Nuclear Power Plant Warning Practice/Demo Warning Radiological Hazard Warning Severe Thunderstorm Warning Severe Thunderstorm Warning Severe Weather Statement	CFW1. CFW1. DSW1. EQW1. EW1. FRW1. FFW. FFA. FFS. FLA. FLS. HMW1. HWW. HUW. HUW. HUA. HLS. LEW1. LAE1. NMW1. NMW1. DMO. RHW1. SVR. SVA. SVS.

Nature of activation	Event codes
Special Marine Warning	SMW 1.
Special Weather Statement	SPS.
Tornado Warning	TOR.
Tornado Watch	TOA.
Tropical Storm Warning	TRW 1.
Tropical Storm Watch	TRA 1.
Tsunami Warning	TSW.
Tsunami Watch	TSA.
Volcano Warning	VOW 1.
Winter Storm Warning	WSW.
Winter Storm Watch	WSA.

1 Effective May 16, 2002, analog radio and television broadcast stations, analog cable systems and wireless cable systems may upgrade their existing EAS equipment to add these event codes on a voluntary basis until the equipment is replaced. All models of EAS equipment manufactured after August 1, 2003 must be capable of receiving and transmitting these event codes. EAS Participants that install or replace their EAS equipment after February 1, 2004 must install equipment that is capable of receiving and transmitting these event codes.

(f) The State, Territory and Offshore (Marine Area) ANSI number codes (SS) are as follows. County ANSI numbers (CCC) are contained in the State EAS Mapbook.

		ANSI No.
State:		
	AL	01
	AK	02
	AZ	04
	AR	05
	CA	06
	CO	08
	СТ	09
	DE	10
	DC	11
	FL	12
	GA	13
	) H	15
	IP.	16
	L	17
	IN .	18
	IA .	19
	KS	20
	ΚΥ	21
	LA	22
	ME	23
	MD	24
	MA.	25
	MI	26
	MN	27
	MS	28
	MO	29
	MT	30
	NE	31
	NV	32
	NH	33
	NJ	34
	NM	35
	NY	36
	NC	37
	ND	38
	OH	39
	OK	40
	OR	41
	PA	42
	RI	44
	SC	45
	SD	46

	ANSI No.
TN	47
TX	48
UT	49
VT	50
VA	5.
WA	5
W	5.
W	5
WY	5.
Terr.:	31
AS	
	60
FM	6-
GU	60
MH	6
MH	6
PR	7:
PW	7
UM	7-
VI	78
Offshore (Marine Areas)1:	
Eastern North Pacific Ocean, and along U.S. West Coast from Canadian border to Mexican border	5
North Pacific Ocean near Alaska, and along Alaska coastline, including the Bering Sea and the Gulf of	
Alaska	5
Central Pacific Ocean, including Hawaiian waters	5
South Central Pacific Ocean, including American Samoa waters	6
Western Pacific Ocean, including Mariana Island waters	6
Western North Atlantic Ocean, and along U.S. East Coast, from Canadian border south to Currituck	
Beach Light, N.C	7
Western North Atlantic Ocean, and along U.S. East Coast, south of Currituck Beach Light, N.C., fol-	
lowing the coastline into Gulf of Mexico to Bonita Beach, FL., including the Caribbean	7
Gulf of Mexico, and along the U.S. Gulf Coast from the Mexican border to Bonita Beach, FL	7
Lake Superior	9
Lake Michigan	9
Lake Huron	9
Lake St. Clair	9
Lake Erie	9
Lake Ontario	9
St. Lawrence River above St. Regis	98
Ot. Lawrence Tilver above Ot. Flegis	3

<sup>&</sup>lt;sup>1</sup>Effective May 16, 2002, analog radio and television broadcast stations, analog cable systems and wireless cable systems may upgrade their existing EAS equipment to add these marine area location codes on a voluntary basis until the equipment is replaced. All models of EAS equipment manufactured after August 1, 2003, must be capable of receiving and transmitting these marine area location codes. EAS Participants that install or replace their EAS equipment after February 1, 2004, must install equipment that is capable of receiving and transmitting these location codes.

[59 FR 67092, Dec. 28, 1994, as amended at 60 FR 55999, Nov. 6, 1995; 61 FR 54952, Oct. 23, 1996; 63 FR 29663, June 1, 1998; 67 FR 18508, Apr. 16, 2002; 67 FR 77174, Dec. 17, 2002; 69 FR 72031, Dec. 10, 2004; 70 FR 71033, Nov. 25, 2005; 77 FR 16701, Mar. 22, 2012]

### §11.32 EAS Encoder.

- (a) EAS Encoders must at a minimum be capable of encoding the EAS protocol described in §11.31 and providing the EAS code transmission requirements described in §11.51. EAS encoders must additionally provide the following minimum specifications:
- (1) Encoder programming. Access to encoder programming shall be protected by a lock or other security measures and be configured so that authorized personnel can readily select and program the EAS Encoder with Originator, Event and Location codes for either manual or automatic operation
- (2) *Inputs*. The encoder shall have at least one input port used for audio messages and at least one input port used for data messages.
- (3) *Outputs*. The encoder shall have at least one audio output port and at least one data output port.
- (4) Calibration. EAS Encoders must provide a means to comply with the modulation levels required in §11.51(f).
- (5) Day-Hour-Minute and Identification Stamps. The encoder shall affix the JJJHHMM and LLLLLLLL codes automatically to all initial messages.
- (6) Program Data Retention. Program data and codes shall be retained even with the power removed.